



Active Learning Methods on Graphs for Image, Video and Multispectral Datasets

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PI: Dr. Andrea Bertozzi

Collaborator: Jeff Calder Univ. Minnesota

PhD students: Jason Brown, Kevin Miller

Undergraduates: Xoaquin Baca (Harvey Mudd) , Jack Mauro (LMU), Jason Setiadi (UMN)
and Zhan Shi (UCLA)

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Introduction

- **Supervised Learning** : Large amount of training (labeled) data
 - e.g. Convolutional neural network, support vector machine
- **Unsupervised Learning**: No training (labeled) data
 - e.g. Spectral clustering, k-means clustering, t-SNE embedding
- **Semi-supervised learning (SSL)**: Small amount of labeled data, but have unlabeled data as well
 - Graph-based SSL
 - Use similarity graph structure to aid in inferring classification of unlabeled data
 - Especially useful in low-label rate regime



Graph Construction

- Given inputs $Z = \{z_1, z_2, \dots, z_n\}$, define a symmetric kernel function $k(z_i, z_j)$ between each pair of points
 - Larger $k(z_i, z_j)$ means higher similarity between the points
 - Define weight matrix, $W_{ij} = k(z_i, z_j)$

$$w_{ij} = \exp(-d(z_i, z_j)^2 / \tau)$$

- For example,
 - **Metric (d):** Euclidean, angular
 - **Scaling (τ):**
 - Constant = Gaussian kernel
 - Distance with k^{th} nearest neighbor = Zelnik-Manor and Perona (ZMP)



Graph Construction: Degree and Graph Laplacian

- Degree of node z_i : $d_i = \sum_j w_{ij}$
 - Diagonal degree matrix: $D = \text{diag}(d_1, d_2, \dots, d_n)$
- Graph Laplacian: $L = D - W$
 - Common normalization: $L_s = D^{-1/2} L D^{-1/2}$ (“normalized graph Laplacian”) - Hyperspectral Imagery
 - Positive semi-definite operator whose eigenvectors are useful for encoding clustering structure
 - E.g. Spectral Clustering



Accelerating the Diagonalization

Avoid costly computation of eigenvectors and eigenvalues on large, dense matrices.

- k nearest neighbor (kNN) graph
 - Only keep the weights of each node related to its k nearest neighbors ($k \ll n$)
 - Results in a **sparse** matrix
- Nyström Extension
 - **Low-rank approximation** of the dense weight matrix:
 - Constructs and stores only k columns ($k \ll n$)
 - Efficient computation of the eigenpairs of the graph Laplacian

Active Learning

Comparison of Goals:

- Semi-Supervised Learning : Accurate classification given current labeled data
- Active Learning: “Optimally” select points to hand-label (classify) in order to improve underlying SSL classifier

Active Learning Methods are usually more **explorative** or more **exploitative**

- **Exploration:** “Explore” the extent of the clustering structure of the underlying dataset
- **Exploitation:** “Exploit” the current level of knowledge about the classification of points in dataset

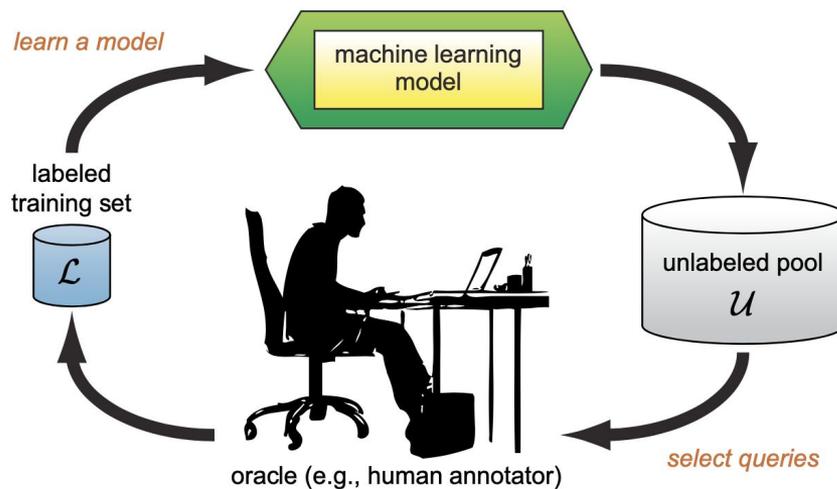
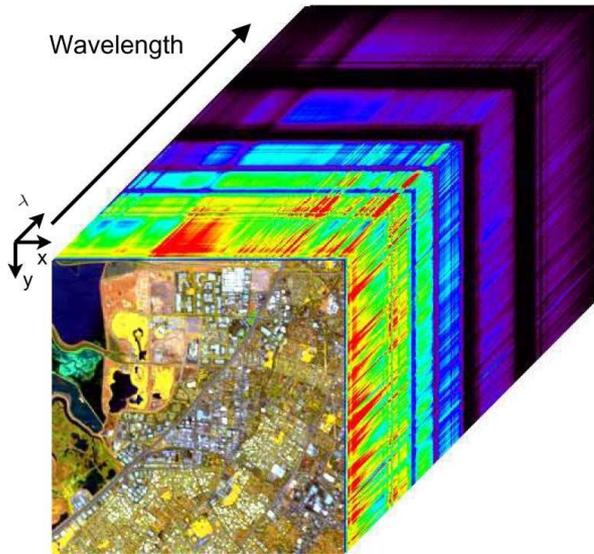


Image credit: Settles, Active Learning, 2013.

Application: Hyperspectral Imagery (HSI)



Hyperspectral images contain rich information about objects in the image, per the many wavelengths that are sampled when image is taken.

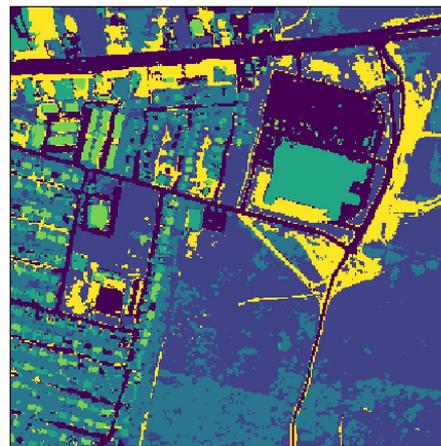
- Seek to classify the pixels into classes (e.g. water, dirt, grass, metal, etc)
- Noisy measurements, corrupted by weather and atmospheric effects

Apply active learning to incorporate human-in-the-loop to improve the accuracy of graph-based semi-supervised classification of pixels.

HSI Datasets



Salinas-A: 6 classes of plant types



Urban: asphalt, grass, tree, roof, metal, and dirt

Graph Construction Details:

- 15-nearest neighbors graph
- Cosine similarity kernel, $k(x_i, x_j) = \frac{\langle x_i, x_j \rangle}{\|x_i\| \|x_j\|}$

Active Learning with Graph-Based SSL

Given graph Laplacian L , define the energy $\mathcal{J}(U; Y) := \frac{1}{2} \langle U, LU \rangle_F + \sum_{j \in \mathcal{L}} \ell(\mathbf{u}^j, \mathbf{y}^j)$

- $N \times n_c$ matrix U (# pixels by # classes)
 - $\mathbf{u}^j = j^{\text{th}}$ row of matrix U
- $\mathbf{y}^j =$ “one-hot” encoding of classification of pixel j
- Loss functions:
 - Squared-Error $\ell(\mathbf{s}, \mathbf{t}) = \frac{1}{2\gamma^2} \|\mathbf{s} - \mathbf{t}\|_2^2$ (will refer to as Multiclass Gaussian Regression, MGR)
 - Cross-Entropy (CE) $\ell(\mathbf{s}, \mathbf{t}) = \sum_{c=1}^{n_c} s_c \ln t_c$

Look-Ahead Model

- “Hypothetical” model, if were to label pixel k according to class \mathbf{y}^k .

$$\mathcal{J}^{+k, \mathbf{y}^k}(U; Y, \mathbf{y}^k) := \frac{1}{2} \langle U, LU \rangle_F + \sum_{j \in \mathcal{L}} \ell(\mathbf{u}^j, \mathbf{y}^j) + \ell(\mathbf{u}^k, \mathbf{y}^k)$$

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How does labeling point k help our overall classification model?

Active Learning Acquisition Functions

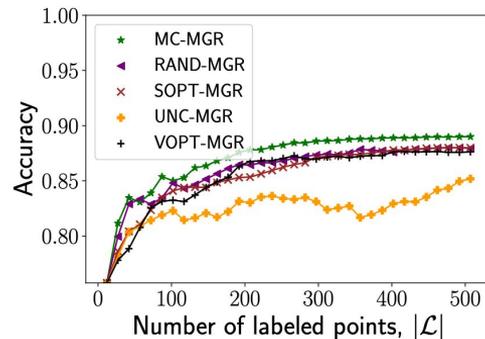
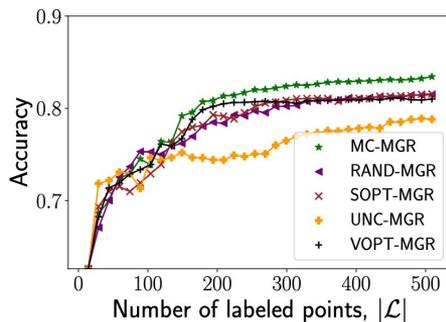


Acquisition function: Active learning criterion “function” that quantifies the utility of labeling an unlabeled point k

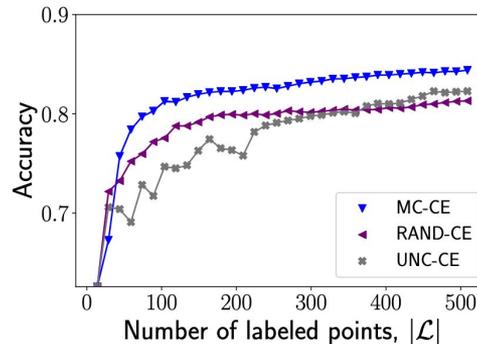
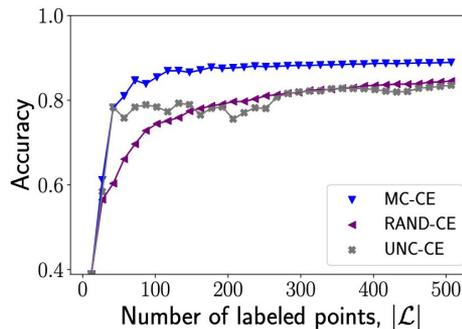
- **Random Sampling** : Select points uniformly at random
- **Uncertainty Sampling** : Select point that current classifier is “most uncertain” about
- **Variance Minimization** : Select point that will decrease the variance of the current classifier the most
 - **VOpt**: Minimize Trace of covariance matrix of Gaussian distribution of associated graph-based classifier
 - **SOpt**: “Sigma Optimality”, variant of VOpt
- **Model Change** : Select point that will change the current classifier “the most”
 - Use look-ahead model with hypothetical “pseudo-label” to calculate

Results in HSI Application

Multiclass
Gaussian
Regression



Cross-Entropy

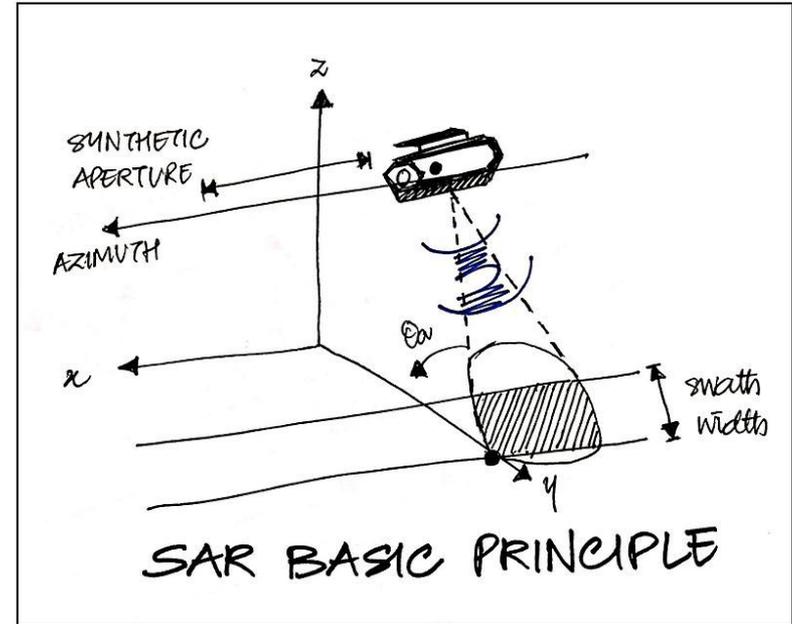


Salinas-A (~7K total points)

Urban (~90K total points)

Synthetic Aperture Radar (SAR) Images

- Finer resolution images than standard radar
 - Mimic large antenna properties with multiple measurements from smaller antenna
 - Present in moving objects such as aircraft/spacecraft as well as drones
- Useful for Automatic Target Recognition (ATR) problems



MSTAR Dataset

- Collection of SAR images from 1995-1997.
 - 10 distinct types of ground vehicles such as tanks and trucks.
 - 6,874 images of size 88 x 88
- Magnitude and phase data

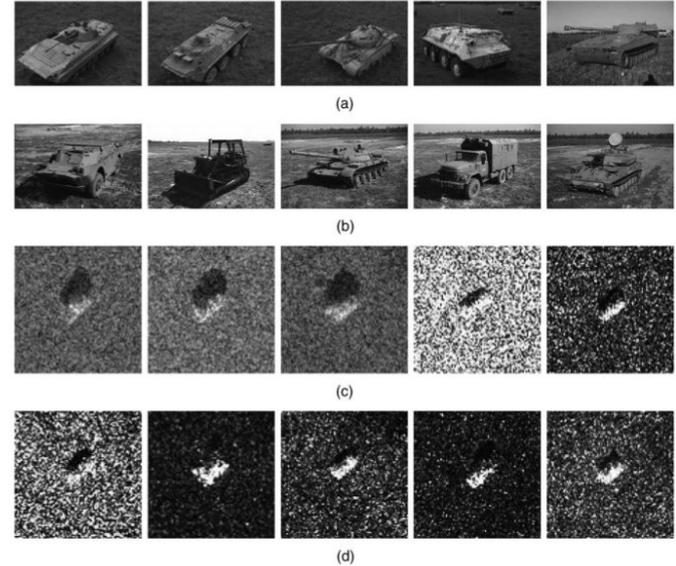


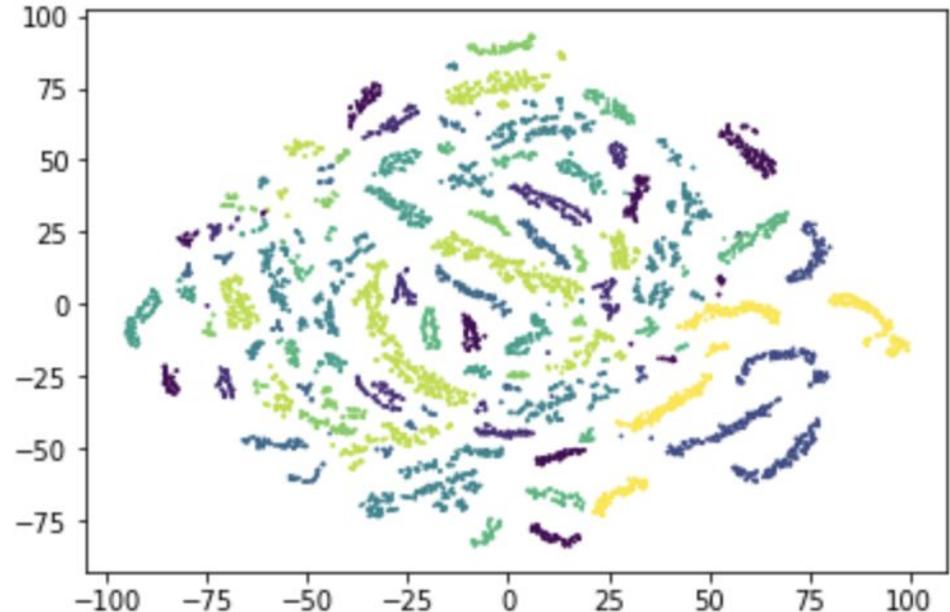
Fig. 2 MSTAR database. (a) and (b) Visible light images for BMP2, BTR70, T72, BTR60, 2S1, BRDM2, D7, T62, ZIL131, and ZSU23/4. (c) and (d) Corresponding SAR images for 10 targets measured at azimuth angle of 45 deg.

Image credit: Perumal, Vasuki (2013).

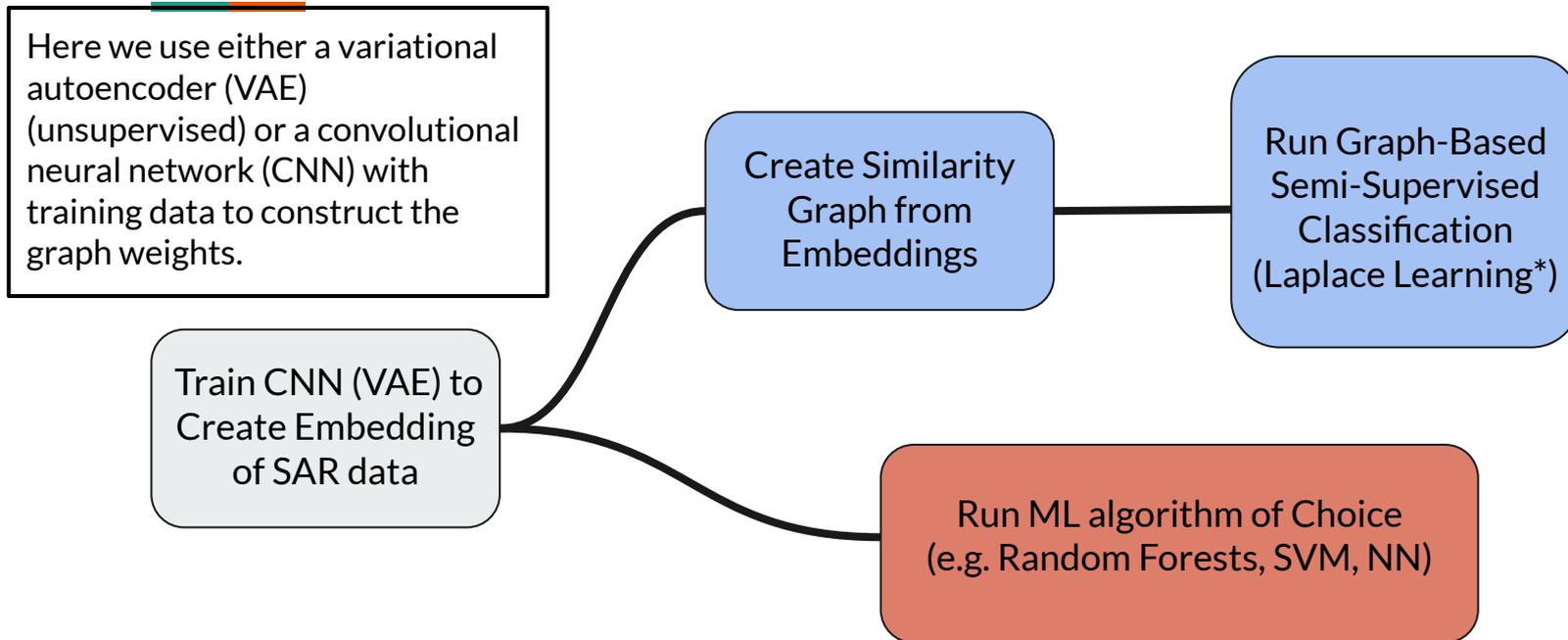
t-SNE Embedding of MSTAR for visualization

t-Distributed Stochastic Neighbor Embedding (t-SNE) is an unsupervised nonlinear embedding. Analogous to PCA but preserving only small pairwise distances

- Each color represents a different class:
 - 2s1 gun
 - zsu23-4 gun
 - bmp2 tank
 - t62 tank
 - t72 tank
 - brdm2 truck
 - zill31 truck
 - btr60 transport
 - btr70 transport
 - bulldozer
- Seemingly “natural” clustering structure with minimal overlap
 - **Great candidate for graph-based learning!**



MSTAR Machine Learning Pipeline

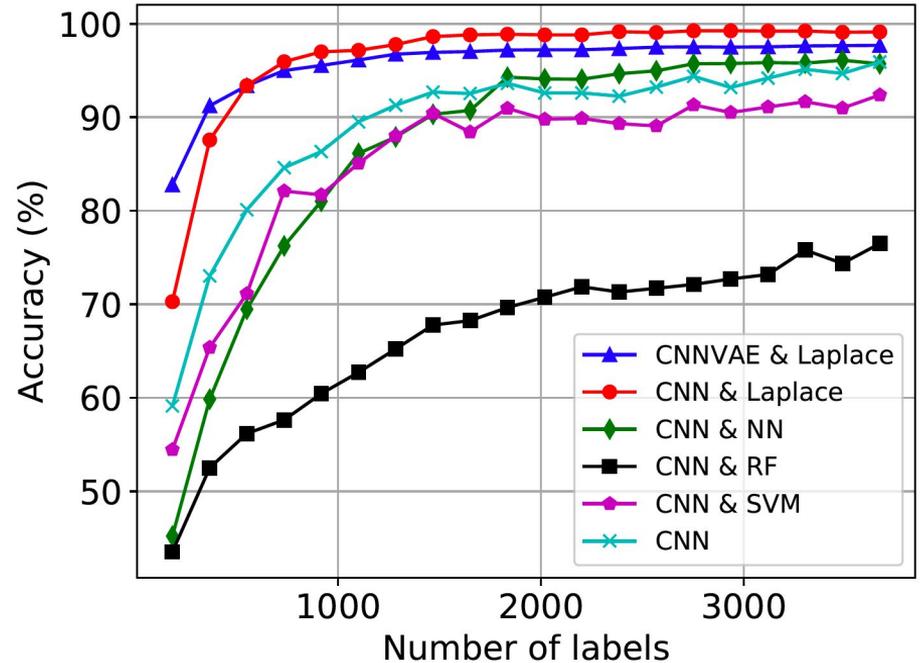


*Laplace Learning (AKA Label Propagation) of Zhu, Ghahramani, and Lafferty (2003).

The Case for Graph Learning on MSTAR Data

- With CNN trained on 5%, 10%, 15%, ... of training data, report the testing accuracies of various ML algorithms.
 - Provides “upper bound” on hoped for capability of unsupervised representations
- CNN-VAE representations trained on all of training data, **but without any label information.**

Graph Learning appears to be superior at using these learned representations!



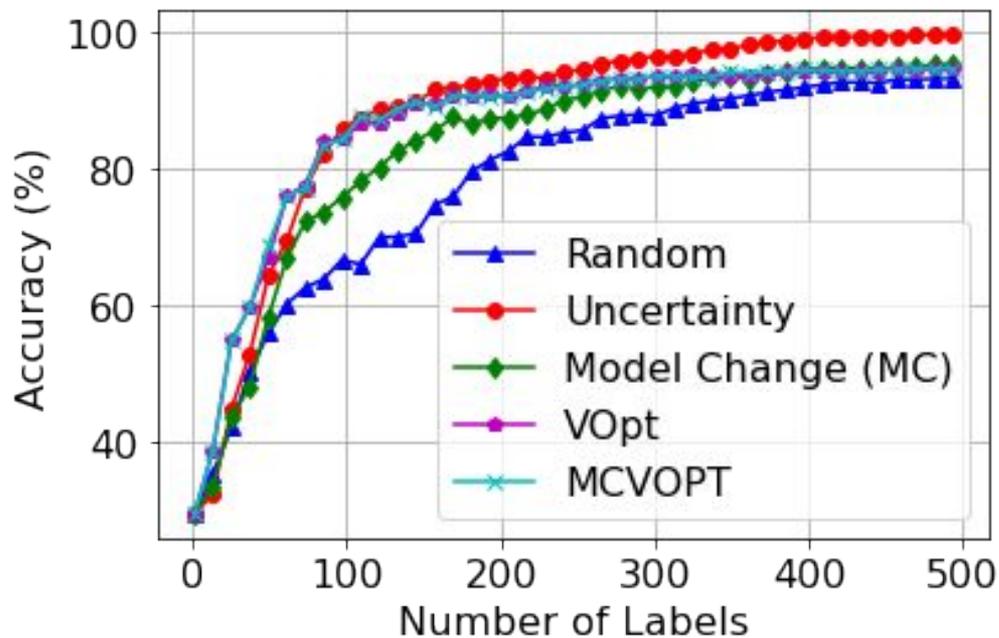
Active Learning on MSTAR



Given effectiveness of graph learning in low-label regime, we apply active learning to further improve the performance.

- Use CNN-VAE representations (i.e. no labeled data required for representation learning)
- Starting with *only 1 labeled point per class*, select 500 labeled points sequentially via the following acquisition functions:
 - Random sampling
 - Uncertainty
 - VOpt
 - Model Change (MC)
 - MCVOPT : A novel combination of VOpt and Model Change acquisition functions

Active Learning with Graph Learning on MSTAR



Here we use only the CNN-VAE for graph construction **without** any labels

- Top performing: **Uncertainty Sampling**
- Related to geometry of dataset with many, distinct small clusters
 - Exploration **and** Exploitation as a result



Conclusion

- Active learning in conjunction with graph-based learning is effective and efficient way to improve semi-supervised learning
- The natural clusters in MSTAR dataset ideal for graph learning
 - Clustering structure allows even simple acquisition functions (i.e. Uncertainty Sampling) to perform well
- Code available on GitHub
 - HSI experiments (<https://github.com/millerk22/model-change-paper/>)
 - MSTAR experiments (<https://github.com/jwcalder/MSTAR-Active-Learning/>)



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